



28759 ✓

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/909,318	
	Filing Date	July 19, 2001	
	First Named Inventor	Jeffery R. Parker	
	Group Art Unit	2875	
	Examiner Name		
Total Number of Pages in This Submission	5	Attorney Docket No.	GLOLP0114US

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<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply (Preliminary)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Donald L. Otto, Reg. No. 22,125
Signature	
Date	October 14, 2002

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Typed or printed name	Donald L. Otto		
Signature		Date	10-14-02

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

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TOTAL AMOUNT OF PAYMENT (\$) 228.00

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 18-0988 Deposit Account Name: Renner, Otto, Boisselle & Sklar, LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity (\$)</th> <th>Small Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Donald L. Otto	Registration No. (Attorney/Agent)	22,125
Signature		Telephone	(216) 621-1113
		Date	10-14-02

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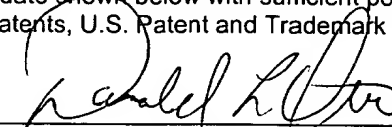


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Date: October 14, 2002


Donald L. Otto

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket GLOLP0114US

In re PATENT application of

Jeffery R. Parker, et al

Serial No. 09/909,318

Filed July 19, 2001

For: LIGHT REDIRECTING FILMS AND FILM SYSTEMS

Art Unit 2875
(Confirmation No. 1195)

PRELIMINARY AMENDMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Sir:

Please add the following additional claims:

128. (New) A light redirecting film comprising a thin optically transparent substrate having individual optical elements of well defined shape to redistribute light passing through the substrate, the optical elements being quite small in relation to a width and length of the substrate, at least some of the optical elements having only two surfaces, both surfaces of the optical elements intersecting each other to form a ridge and both surfaces of the optical elements intersecting the substrate.

10/22/2002 SHINASS1 00000104 09909318